

JC978 U.S. PTO  
10/08/2022  
02/27/02

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

j1046 U.S. PTO  
02/27/02

Attorney Docket No. : 1150-102.US  
 First Inventor or Application Identifier : Monita LIU  
 Title : "Electrically Illuminated Flame Simulator"  
 Express Mail Label No. : ET002270147US

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ADDRESS TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. [x] \*Fee transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2. [x] Applicant claims small entity status.
3. [x] Specification of 30 pages  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. [x] Drawing(s) (35 U.S.C. 113) Total sheets: 7
5. [x] Oath or Declaration and Power of Attorney Total pages: 2
- a. [x] Newly executed (original or copy)
- b. [ ] Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. [ ] Deletion of inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)
6. [x] Application Data Sheet. See 37 CFR 1.76
7. [ ] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. [ ] Computer Readable Form (CRF)
- b. [ ] Specification Sequence Listing on:
  - i. [ ] CD-ROM or CD-R (2 copies); or
  - ii. [ ] paper
- c. [ ] Statements verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS

9. [ ] Assignment Papers (cover sheet & document(s))
10. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney
11. [ ] English Translation Document (if applicable) [ ] Copies of IDS citations
12. [ ] Information Disclosure Statement (IDS)/PTO-1449

UTILITY PATENT APPLICATION TRANSMITTAL, continued

- 13.  Preliminary Amendment
  - 14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
  - 15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
  - 16.  Request an Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
  - 17.  Other: Check
- 

- 18.  If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

continuation       divisional       continuation-in-part (CIP)

of prior application No.:

*Prior application information: Examiner: Group/Art Unit:*

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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19. CORRESPONDENCE ADDRESS

Customer Number 23390

Correspondence address below

Name: Colin P. Abrahams  
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Name: Colin P. Abrahams

Registration No. (Attorney/Agent) 32,393

Signature: Colin P. Abrahams

Date: February 27, 2002

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Certificate of Mailing

Express Mail Label No.: ET002270147US

Date of Deposit: February 27, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Colin P. Abrahams  
(typed name)

Colin P. Abrahams  
(Signature)

1150-102.US11

# FEE TRANSMITTAL FORM

Total amount of payment: \$424.00

Application Number : Not known  
 Filing Date : Concurrent  
 First Named Inventor : Monita LIU  
 Examiner Name : Not known  
 Group Art Unit : Not known  
 Attorney Docket No. : 1150-102.US

## METHOD OF PAYMENT

Payment Enclosed:  
 Check for \$424.00

## FEE CALCULATION

### 1. BASIC FILING FEE

Utility filing fee	\$370.00
Design filing fee	\$
Plant filing fee	\$
Reissue filing fee	\$
Provisional filing fee	\$
Subtotal (1)	\$370.00

### 2. EXTRA CLAIM FEES

Total: 26 -20 = 6 x 9 =	\$ 54.00
Independent: 3 - 3 = 0 x 42 =	\$ 0.00
Multiple	\$
Subtotal (2)	\$ 54.00

### 3. ADDITIONAL FEES

Surcharge - late filing fee or oath	\$
Surcharge - late provisional filing fee	\$
Non-English specification	\$
Request for ex parte reexamination	\$
Requesting pub of SIR prior to Examiner action	\$
Requesting pub of SIR after Examiner action	\$
Extension for reply within first month	\$
Extension for reply within second month	\$
Extension for reply within third month	\$
Extension for reply within fourth month	\$
Extension for reply within fifth month	\$
Notice of Appeal	\$
Filing brief in support of appeal	\$
Request for oral hearing	\$
Petition to institute a public use proceeding	\$
Petition to revive - unavoidable	\$
Petition to revive - unintentional	\$
Utility issue fee (or reissue)	\$
Design issue fee	\$
Plant issue fee	\$
Petitions to the Commissioner	\$
Petitions related to provisional applications	\$
Submission of Information Disclosure Statement	\$
Recording each patent assignment per property	\$
Submission after final rejection (37CFR 1.129(a))	\$
Add'l invention examined (37 CFR 1.129(b))	\$
Request for Continued Examination	\$
Request for expedited exam - design application	\$
Other fee (specify)	\$
Subtotal (3)	\$

Submitted by

Name: Colin P. Abrahams

Registration No. 32,393 (Attorney)

Telephone: 818 710-2788

Signature: Colin P. Abrahams

Date: February 27, 2002